

Attorney Docket No. 71800/90598 (14/846)

**DECLARATION OF INVENTORSHIP and  
LIMITED POWER OF ATTORNEY**

As a below named inventor, I believe that I am the original, first and sole inventor (if only one name is listed below or a joint inventor (if plural inventors are named below) of the invention entitled "**Germicidal Lamp for Harsh Environments**", which is described and claimed in Application No. ~~Serial no. or (attached)~~ filed \_\_\_\_\_ or to be filed and for which a patent is sought. My residence, post office address and citizenship are as stated below next to my name.

I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to herein.

I acknowledge my duty under Title 37, Code of Federal Regulations §1.56(a) to disclose information which is material to the patentability of the invention I am claiming.

☒ I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application: 08/773,643, filed December 24, 1996, to issue as Patent No. 5,866,076 on February 2, 1999.

As a named inventor and until I assign my rights to the invention, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith on my behalf: STEVEN C. SEREBOFF, Reg. No. 37,035; HENRY G. KOHLMANN, Reg. No. 26,672; of Arter & Hadden LLP, Five Park Plaza, Suite 1000, Irvine, California 92614-8528; DON J. PELTO, Reg. No. 33,754; and LAWRENCE M. SUNG, Reg. No. 38,330 also with the firm of Arter & Hadden LLP. Send correspondence and direct telephone calls to: Steven C. Sereboff, Esq., ARTER & HADDEN, LLP, 5 Park Plaza, 10<sup>th</sup> Floor, Irvine, CA 92614; telephone (949) 252-3129, facsimile (949) 833-9604, email: sereboff@arterhadden.com.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of this application and any patent issuing thereon.

Forrest B. Fencel, of Huntington Beach, California, a citizen of USA. Post office address: 11100 Artesia Blvd., Ste. D, Cerritos, CA 90703.

Signature: Forrest B. FencelDate: 2/1/99

Robert M. Culbert, of Manhattan Beach, California, a citizen of USA. Post office address: 11100 Artesia Blvd., Ste. D, Cerritos, CA 90703.

Signature: Robert M. CulbertDate: 2-1-99

Friday January 29, 1999 02:32 PM

Parent Part Number: 21000100

GTS 16 VO

Type: F

Level	Component	Description	Qty Required	UM	T	Scrap	Seq	RtNum	Reference	Lin
.1	21001100	GTS 16VO	1.00000000	EA	F	0.00		0		1
		***** There is no Bill of Material record for this Assembly *****								
.1	60000125	16",20" TUBE INSERT	1.00000000	EA	R	0.00		0		2
		Vends: FIB-179 Fibre Container	59435							
.1	60000126	16",20" SHIPPER BOX	1.00000000	EA	R	0.00		0		3
		Vends: FIB-179 Fibre Container	59434							
.1	11000105	O-RING 15/16X3/32	1.00000000	EA	R	0.00		0		4
		Vends: VAL-237 Valley Seal Co.	2-116							

PTO/GB/10 (12-97)  
 Approved for use through 9/30/00. OMB 0651-0051  
 Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT CLAIMING SMALL ENTITY STATUS  
 (37 CFR 1.9(f) & 1.27(c))—SMALL BUSINESS CONCERN**

Docket Number (Optional)  
 71800/90598 (14/846)

Applicant, Patentee, or Identifier: Forrest B. Fencil, Robert M. Culbert

Application or Patent No.: \_\_\_\_\_

Date of Issuance: \_\_\_\_\_

Title: GERMICIDAL LAMP FOR HARSH ENVIRONMENTS

I hereby state that I am

- ☐ the owner of the small business concern identified below;  
☐ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF SMALL BUSINESS CONCERN Steril-Aire USA, Inc.

ADDRESS OF SMALL BUSINESS CONCERN 11100 Artesia Blvd., Ste. D  
Cerritos, CA 90703

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.  
☐ the application identified above.  
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

- Each person, concern, or organization having any rights in the invention is listed below:  
☒ no such person, concern, or organization exists.  
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Forrest B. Fencil

TITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 11100 Artesia Blvd., Ste. D; Cerritos, CA 90703

SIGNATURE Forrest B. Fencil

DATE 2/1/99

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Friday January 29, 1999 02:32 PM

Parent Part Number: 20000100

GTD 16 VO

Type: F

Level	Component	Description	Qty Required	UM	T	Scrap	Seq	RtNum	Reference	Lin <sup>1</sup>
.1	60000101	18/24 DE TUBE INSERT Vends: FIB-179 Fibre Container	1.00000000 52991	EA	R	0.00		0		1
.1	60000102	18/24 DE SINGLE TUBE BOX Vends: FIB-179 Fibre Container	1.00000000 52989	EA	R	0.00		0		2
.1	20001100	GTD 16VO ***** There is no Bill of Material record for this Assembly *****	1.00000000	EA	F	0.00		0		3